File Group:

Record Name: Conflict of Interest

Record Code: P51

File Type: Delimited

Reinsurance Year: 2011

Version: Draft 1

Record Number	Field Number	Field Name	<u>Data Type</u>	Max Length	Format	BUS Key	Req?	Rules
P51	1	AIP Code	Character	2		Y	Y	AIP Code must be valid; edit with Plan of Ops/AIP Company table.
P51	2	Reinsurance Year	Numeric	4	CCYY	Υ	Υ	Reinsurance Year must equal "2011".
P51	3	Record Type Code	Character	6			Υ	Record Type Code must equal "P51".
P51	4	AIP COI Key	Character	15			Υ	AIP COI Key - once used within the Reinsurance Year and AIP Code, cannot be reassigned to another Conflict of Interest, "P51" record.
P51	5	AIP Policy Producer Key	Character	15		Υ	Υ	AIP Policy Producer Key must match the corresponding primary Policy Producer, "P10" record.
P51	6	AIP Adjuster Key	Character	15		Υ		AIP Adjuster Key must match the corresponding Adjuster, "P56" record for the Adjuster who completed the COI Questionnaire.
								AIP Adjuster Key is Required when AIP Insurance Agent Key is empty and AIP Employee Key is empty.
P51	7	AIP Insurance Agent Key	Character	15		Υ		AIP Insurance Agent Key must match the corresponding Insurance Agent, "P55" record for the Agent who completed the COI Questionnaire.
								AIP Insurance Agent Key is Required when AIP Adjuster Key is empty and AIP Employee Key is empty.

[&]quot;*" = Output Only 11/16/2009

File Group:

Record Name: Conflict of Interest

Record Code: P51

File Type: Delimited

Reinsurance Year: 2011

Version: Draft 1

Record Number	Output	<u>Field</u> Number	<u>Field Name</u>	<u>Data Type</u>	<u>Max</u> Length	<u>Format</u>	BUS Key	<u>Rules</u>
P51		8	AIP Employee Key	Character	15		Υ	AIP Employee Key must match the corresponding Employee, "P54" record for the company or agency employee who completed the COI Questionnaire.
								AIP Employee Key is Required when AIP Insurance Agent Key is empty and AIP Adjuster Key is empty.
P51		9	COI Question Code List	Character	32			COI Question Code List must be valid; edit with the COI Question ICE,"D0015".
P51	*	10	First Submission Flag	Character	1			First Submission Flag will be Yes, "Y" the first time this record is submitted, otherwise No, "N".
P51	*	11	Initial Accepted Batch Number	Numeric	4	9999		Initial Accepted Batch Number is the original sequence number assigned when this record was first received at RMA.
P51	*	12	Initial Accepted Date	Date/Time	21	CCYYMMDD hh:mm:ss.fff		Initial Accepted Date is the original date assigned when this record was first received at RMA.
P51	*	13	Batch Received Date	Date/Time	21	CCYYMMDD hh:mm:ss.fff		Batch Received Date is the date that the AIP file is received by RMA.
P51	*	14	Batch Number	Numeric	4	9999		Batch Number is a sequential number assigned when an AIP file is received.

File Type: Delimited

File Group:

Reinsurance Year: 2011

Record Name: Conflict of Interest

Version: Draft 1

Record Code: P51

Record	ıtbut	Field			Max		BUS		
<u>Number</u>	õ	<u>Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Length</u>	<u>Format</u>	<u>Key</u>	Req?	<u>Rules</u>
P51	*	15	Batch Record ID	Numeric	15				Batch Record ID is a sequential number assigned to each record in the AIP file by RMA during processing. Batch Record ID is unique within the record type for the batch.
P51	*	16	Process Result Code	Character	1				Process Result Code equals the final result code as determined by PASS processing, refer to the Process Result ICE , "D0002".

Application Source: File Type: Delimited File Group: **Reinsurance Year:** 2011 Version: Draft 1 **Record Name:** Conflict of Interest **Release Date:** 7/1/2010 Record Code: P51 Record the Number Field Max **BUS** Number **Field Name** Data Type **Length** Key Req? **Format** Rules Record Level Rules The Conflict of Interest "P51" record will be deleted if the corresponding Policy Producer, "P10" record is deleted. An Employee "P54", or Insurance Agent "P55", or Adjuster "P56" records must exist prior to submitting Conflict of Interest "P51". All Conflict of Interest "P51" records reported to the RMA, will replace any previously reported Conflict of Interest "P51" record. Policy Delete "P49" record has no impact on the Conflict of Interest "P51" record.

File Type: Delimited

File Group:

Reinsurance Year: 2011

Record Name: Conflict of Interest

Record Code: P51

Version: Draft 1 **Release Date:** 7/1/2010

Record Number	Output	<u>Field</u> <u>Number</u>	<u>Field Name</u>	Data Type	Max Length	<u>Format</u>	BUS Key	Req?	<u>Rules</u>
Change	. Lo	g							
P51		5	Location State Code	Character	2	5/14/2009	Υ	Υ	Replaced by AIP policy Producer Key.
P51		5	AIP Policy Producer Key	Character	15	5/14/2009	Υ	Υ	Added to record.
P51		5	AIP Policy Producer Key	Character	15		Υ	Υ	Added rule: AIP Policy Producer Key - once used within the Reinsurance Year and AIP Code, cannot be reassigned to another Policy Producer record.
P51	*	10	First Submission Flag	Character	1	5/14/2009			Mapped field to the record.
P51		9	COI Question Code List	Character	32	5/21/2009			Changed to COI Question ICE.
P51		1	AIP Code	Character	2	5/21/2009	Υ	Υ	Changed Rule: AIP Code must be valid; edit with Plan of Ops/AIP Company table.
P51	*	17	Process Result Code	Character	1	6/1/2009			Changed Rule: Result Code values equal the final result code for the row as determined by the Processing Result ICE???
P51	*	17	Process Result Code	Character	1	6/1/2009			Changed Field Name: from Result Code to Process Result Code.
P51						5/28/2009			Began refining rules for Enhanced Rules deliverable. Will not document individual changes until the first draft is published.

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Record Number	Output	<u>Field</u> Number	<u>Field Name</u>	<u>Data Type</u>	<u>Max</u> Length	<u>Format</u>	BUS Key	Req?	<u>Rules</u>
P51		8	AIP Agency Company Employee Key	Character	15	7/1/2009			changed Field name - removed "Agency Company" - AIP Agent Company Employee Key must match the corresponding Employee, "P54" record for the company or agency employee who completed the COI Questionnaire.
P51	*	16	Process Result Code	Character	1	9/1/2009	1		Changed rule per AIP Issue Log discussions - Process Result Code equals the final result code as determined by PASS processing, refer to the Processing Result ICE for values???
P51	*	16	Process Result Code	Character	1	9/14/2009	l		Added ICE number detail - Process Result Code equals the final result code as determined by PASS processing, refer to the Process Result ICE, "D0002".
P51		6	AIP Adjuster Key	Character	15	10/13/2009	Υ		Changed field name - AIP Loss Adjuster Key to AIP Adjuster Key - AIP Adjuster Key must match the corresponding Adjuster, "P56" record for the Adjuster who completed the COI Questionnaire.
P51		9	COI Question Code List	Character	32	11/2/2009			COI Question Code List must be valid; edit with the COI Question ICE,"D0015".

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Record Code: P51

File Type: Delimited

Reinsurance Year: 2011

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Record Number	Field Number	<u>Field Name</u>	<u>Data Type</u>	Max Length	<u>Format</u>	BUS Key	Req?	<u>Rules</u>
P51	4	AIP COI Key	Character	15	11/6/2009		Υ	Changed Rule to AIP COI Key - once used within the Reinsurance Year and AIP Code, cannot be reassigned to another Conflict of Interest, "P51" record.
P51	7	AIP Insurance Agent Key	Character	15	11/11/2009	Υ		Changed Rule Per AIP Issue Log #256 s/b AIP Adjuster Key not AIP Loss Adjuster Key - AIP Insurance Agent Key is Required when AIP Loss Adjuster Key is empty and AIP Employee Key is empty.
P51	8	AIP Employee Key	Character	15	11/11/2009	Υ		Changed Rule Per AIP Issue Log #256 s/b AIP Adjuster Key not AIP Loss Adjuster Key - AIP Employee Key is Required when AIP Insurance Agent Key is empty and AIP Loss Adjuster Key is empty.